

IBM Docket No. CA920000068US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Transmittal of Preliminary Amendment

Date: March 7, 2002

In re Application of: Albazz, I. et al

Serial Number: 10/004076

Filed: Oct. 30, 2001

For: System and Method for Representation of Business Policy and Governing the Conduct

Art Unit: 8347

Examiner: To be assigned

X No additional fee is required.

The fee due for this response is calculated below.

Claims Remaining After Amendment	Highest Number Already Paid For*	Present Extra	Rate	Additional Fee
Total 20 -	20	= 0 @	\$18.00	= \$0.00
Independent 4 -	4	= 0 @	\$80.00	= \$0.00
First Presentation of Multiple Dependent Claims			\$270.00	= \$0.00
Petition Fee(s)	(0)			= \$0.00
TOTAL				\$0.00

*Total claims previously paid for must be 20 or more. Independent claims previously paid for must be 3 or more.

Please charge Deposit Account 09-0461 for the TOTAL set forth above. The Commissioner is authorized to charge payment of any additional fees required under 37 CFR §1.116 and 37 CFR §1.117 or to credit any overpayment to the designated Deposit Account. A duplicate copy of this sheet is enclosed.

Certificate of Mailing Under 37 CFR 1.8(a)

Date: March 7, 2002

I hereby certify that I am depositing the enclosed or attached correspondence with the U.S. Postal Service on the above date as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Linda Dupont

BY:

Gregory M. Doudnikoff

Attorney/Agent of Record Reg. No. 32,847

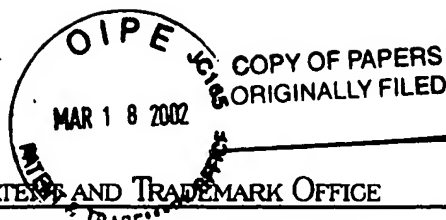
Date:

IBM Corporation T81/503
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UNITED STATES PATENT AND TRADEMARK OFFICE

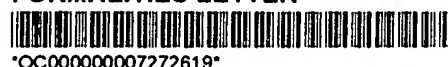


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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/004,076	10/30/2001	Imaddin O. Albazz	CA920000068US1

CONFIRMATION NO. 8347

FORMALITIES LETTER



OC000000007272619

Gregory M. Doudnikoff
IBM Corportaion T81/503
PO Box 12195
Research Triangle Park, NC 27709

Date Mailed: 01/07/2002

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 5,6,7 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.

LS

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE